

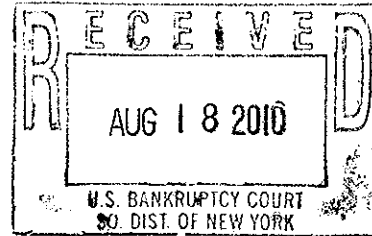


ANDERSON & STRUDWICK

Serving Investors Since 1948

August 16, 2010

Clerk of United States Bankruptcy Court
Alexander Hamilton
U.S. Custom House
One Bowling Green
New York, NY 10004-1408



Ref: Objection to Case #: 10-14133 (AJG) Jesup & Lamont Inc.

Attn: Clerk of the Court

This letter is in reference to the Application to pay salaries, wages, & commissions to former Jesup & Lamont Employees and Independent Contractors. We are former Independent Contractors of Jesup & Lamont Inc. Now we are registered with Anderson & Strudwick Inc., our new Broker/Dealer.

We are writing in reference to the Unpaid Wages, Exhibit A. It states that Louis Nebel is owed \$772.85 and Andrew Wade is owed \$115.06 as of 6/15/2010. We have no idea how these figures were reconciled.

We have four exhibits that show that these figures are incorrect.

Exhibit #1 is the actual Jesup & Lamont commission statement printed on-line for the 6/15/2010 commission run. These commissions were entered by Gary Davis, the former commission manager at Jesup & Lamont.

Exhibit #2 are the Putnam trails paid to Jesup & Lamont as of 6/30/2010.

Exhibit #3 shows the amount paid by Genworth Financial to Jesup & Lamont for Louis Nebel as of 6/12/2010.

Exhibit #4 is an email dated 6/24/10 between Gary Davis and the Jesup & Lamont Insurance representative Paul Gaipman that says an additional amount was received by Jesup and Lamont.

Exhibit #	Company	Louis Nebel #1089	Andrew Wade #1087
1	Jesup & Lamont Print Out a/o 6/15/2010	\$5,578.00	\$1,387.00
2	Putnam Trails a/o 6/30/2010	\$19,004.25	\$1,049.79
3	Genworth Financial a/o 6/12/2010	\$10,958.06	\$0.00
4	Liberty/RBC email from Gary Davis/ Paul Gaipman	\$6,250.00	\$0.00

Total Details Attached	\$41,790.31	\$2,436.79
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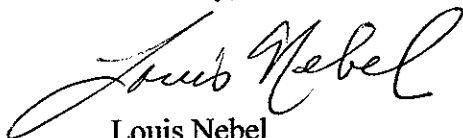
We feel that this is extremely unfair. We know that Gary Davis worked diligently over the last five plus years to code the correct commissions to the correct representatives. The attached information should be more than enough proof that something is not right with regard to the commissions at Jesup & Lamont. There are much smaller figures that are owed to us. However, for the sake of this bankruptcy, we are using the four largest amount that we can find.

Our telephone number is 703-658-0080.

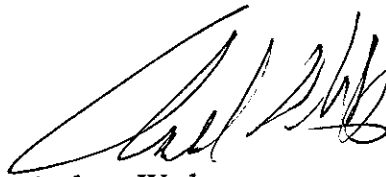
awade@andersonstrudwick.com

lnebel@andersonstrudwick.com

Sincerely,



Louis Nebel
President



Andrew Wade
Vice-President

Enclosures:

Exhibit #1: Jesup's Commission Statement dated 6/15/2010

Exhibit #2: Putnam Trails dated 6/30/2010

Exhibit #3: Genworth Annuity dated 6/12/2010

Exhibit #4: RBC/Liberty Life email that commission received dated 6/24/2010

2 Floppy Discs.

Copies to:

- 1) Chambers of Judge Arthur J. Gonzalez, One Bowling Green, NY, NY 10004
- 2) SilvermanAcampora LLP, 100 Jericho Quadrangle, Suite 300, Jericho, NY 11753, Attn: Sheryl P. Busell
- 3) Office Of the U.S. Trustee, 33 Whitehall St., 21st Floor, NY, NY, 10004, ATTN: Brian S. Masumoto

COMMISSIONWEB

Rep: LOU NEBEL (70LN) Branch: 720 - Retail 1099 Annadale, VA OS: Compensation

System Status: OK

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Commission Statement for LOU NEBEL (70LN) - Current Broker Month**Production ▼**

Rep Name	Trade Source	Pay Type	Production Credit	Allocated Gross	Clearing Fees	Base Payout
LOU NEBEL (70LN)	Packaged Products	Trading Rep	4,291	4,291	0	3,862
LOUIS NEBEL (VA01)	Legent	Rep Group	240	240	75	141
Totals			4,531	4,531	75	4,003

Overrides ▼

Rep Name	Trade Source	Pay Type	Production Credit	Allocated Gross	Clearing Fees	Base Payout
ANDREW WADE (VA02)	Packaged Products	Manager	0	0	0	1,278
Totals			0	0	0	1,278

Adjustments ▼

Name	Category	Description	Entry Date	Effective Date	1099Amount
AFS (Broker Web Access) Billing Detail Legent	AFS	AFS (Broker Web Access) Billing Detail	4/12/2010	2/2/2010	(75)
AFS (Broker Web Access) Billing Detail Legent	AFS	AFS (Broker Web Access) Billing Detail	6/8/2010	6/2/2010	(75)
J&L Errors & Omissions	JLSCEO	\$200 Monthly E&O	6/8/2010	6/2/2010	(200)
JLSC Commission Adjustments	JLSCCM	JLSC Commission Adjustments 5%	6/1/2010	6/1/2010	773
Technology & Compliance Fee	IT	Technology & Compliance Fee	6/8/2010	6/2/2010	(125)
Totals					298
Opening Balance					0
Net Payout					5,578

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Calendar Control

2002 2003 2004

2005 2006 2007

2008 2009 2010

Q1 Q2 Q3 Q4

Jan Feb Mar

Apr May Jun

Jul Aug Sep

Oct Nov Dec

s m t w t f s

30 31 1 2 3 4 5

6 7 8 9 10 11 12

13 14 15 16 17 18 19

20 21 22 23 24 25 26

27 28 29 30 1 2 3

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Trade Summary for LOU NEBEL (70LN)

Trade Summary ☒ See Applied Filter

Products	Pay Type	Shares	Inv Amt	Grs Comm	Alloc Grs	Prd Crdt	Clrg Fees	Base Pay
Common Stock (PTCS)	Rep Group	436	17,214	240	240	240	75	141
Totals		436	17,214	240	240	240	75	141

Applied Filter

Grouping Product

Date Up to Current Broker Month

Trade Source Legent

Payment Type Rep Group

Source Rep LOUIS NEBEL (VA01)

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Rep: LOU NEBEL (70LN) Branch: 720 - Retail 1099 Annadale, VA OS: Compensation

System Status: OK

Calendar Control

2002 2003 2004

2005 2006 2007

2008 2009 2010

Q1 Q2 Q3 Q4

Jan Feb Mar

Apr May Jun

Jul Aug Sep

Oct Nov Dec

s m t w t f s

30 31 1 2 3 4 5

6 7 8 9 10 11 12

13 14 15 16 17 18 19

20 21 22 23 24 25 26

27 28 29 30 1 2 3

Options

Group by

Product

Change

Trade Summary for LOU NEBEL (70LN)

Trade Summary See Applied Filter

Products	Pay Type	Shares	Inv Amt	Grs Comm	Alloc Grs	Prd Crdt	Clrg Fees	Base Pay
Mutual Fund (PPMMF)	Trading Rep	0	69,665	1,142	1,142	1,142	0	1,028
Mutual Fund 12B1 Fee (PPTB)	Trading Rep	0	0	3,093	3,093	3,093	0	2,783
Variable Annuity - Trailer (PPANT)	Trading Rep	0	0	56	56	56	0	51
Totals		0	69,665	4,291	4,291	4,291	0	3,862

Applied Filter

Grouping Product

Date Up to Current Broker Month

Trade Source Packaged Products

Payment Type Trading Rep

Source Rep LOU NEBEL (70LN)

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Trade Listing: LOU NEBEL (70LN)**Active Production (not paid) ▼ See Applied Filter**

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
6/16/2010	6/16/2010	B	FRA1	Franklin Templeton (36273)	Franklin/Templeton Dist., Inc. (368)	0	90	0	30,000	450	450	0	405
6/16/2010	6/16/2010	B	FRA1	Franklin Templeton (36273)	Franklin/Templeton Dist., Inc. (368)	0	90	0	1,486	35	35	0	32
6/16/2010	6/16/2010	B	FRA1	Franklin Templeton (36273)	Franklin/Templeton Dist., Inc. (368)	0	90	0	500	20	20	0	18
6/16/2010	6/16/2010	B	FRA1	Franklin Templeton (36273)	Franklin/Templeton Dist., Inc. (368)	0	90	0	200	10	10	0	9
6/16/2010	6/16/2010	B	PUT1	PUTNAM INVESTMENTS (40418)	Putnam Investments, Inc. (533)	0	90	0	230	8	8	0	7
6/16/2010	6/16/2010	B	PUT1	PUTNAM INVESTMENTS (40418)	Putnam Investments, Inc. (533)	0	90	0	18,080	386	386	0	347
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	90	0	10,480	105	105	0	94
6/16/2010	6/16/2010	B	OPP1	OPPENHEIMER FUNDS (40250)	Oppenheimer Funds Distributor, Inc. (494)	0	90	0	5,000	50	50	0	45
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	90	0	1,910	41	41	0	37
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	90	0	250	12	12	0	11
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	90	0	680	7	7	0	6
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	90	0	850	19	19	0	17
Totals								0	69,665	1,142	1,142	0	1,028

Posted Production (paid) ▼ See Applied Filter

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
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No data

Applied Filter

Date Up to Current Broker Month

Trade Source Packaged Products

Payment Type Trading Rep

Source Rep LOU NEBEL (70LN)

Product Mutual Fund (PPMMF)

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Rep: LOU NEBEL (70LN) Branch: 720 - Retail 1099 Annadale, VA OS: Compensation

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Trade Listing: LOU NEBEL (70LN)**Active Production (not paid) ▼ See Applied Filter**

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
6/16/2010	6/16/2010	B		EATON VANCE (40658)	Eaton Vance Distributors, Inc. (282)	0	90	0	0	1	1	0	1
6/16/2010	6/16/2010	B	AME1	FUNDS (40172)	American Funds Dist., Inc. (161)	0	90	0	0	1,908	1,908	0	1,717
6/16/2010	6/16/2010	B	AME1	FUNDS (40172)	American Funds Dist., Inc. (161)	0	90	0	0	1,184	1,184	0	1,066
Totals								0	0	3,093	3,093	0	2,783

Posted Production (paid) ▼ See Applied Filter

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
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No data

Applied Filter

Date Up to Current Broker Month
 Trade Source Packaged Products
 Payment Type Trading Rep
 Source Rep LOU NEBEL (70LN)
 Product Mutual Fund 12B1 Fee (PPTB)

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Trade Listing: LOU NEBEL (70LN)**Active Production (not paid) ▼ See Applied Filter**

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
6/16/2010	6/16/2010	B	JOHN1	JOHN HANCOCK LIFE INSURANCE (40233)	JOHN HANCOCK LIFE INSURANCE COMPANY (U.S.A.) (15)		0 90	0	0	56	56	0	51
Totals									0	0	56	56	0 51

Posted Production (paid) ▼ See Applied Filter

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
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No data

Applied Filter

Date Up to Current Broker Month
 Trade Source Packaged Products
 Payment Type Trading Rep
 Source Rep LOU NEBEL (70LN)
 Product Variable Annuity - Trailer (PPANT)

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Exhibit # 1

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Rep: ANDREW WADE (VA02) Branch: 720 - Retail 1099 Annadale, VA OS: Compensation

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Commission Statement for ANDREW WADE (VA02) - Current Broker Month

Production ▼

6-15-2010 Run

Rep Name	Trade Source	Pay Type	Production Credit	Allocated Gross	Clearing Fees	Base Payout
ANDREW WADE (VA02)	Packaged Products	Trading Rep	3,194	3,194	0	1,597
Totals			3,194	3,194	0	1,597

Overrides ▼

Rep Name	Trade Source	Pay Type	Production Credit	Allocated Gross	Clearing Fees	Base Payout
No data found.						

Adjustments ▼

Name	Category	Description	Entry Date	Effective Date	1099 Amount
J&L Errors & Omissions	JLSCEO	\$200 Monthly E&O	6/8/2010	6/2/2010	(200)
JLSC Commission Adjustments	JLSCCM	JLSC Commission Adjustments 5%	6/1/2010	6/1/2010	115
Technology & Compliance Fee	IT	Technology & Compliance Fee	6/8/2010	6/2/2010	(125)
Totals					(210)
Opening Balance					0
Net Payout					1,387

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Done



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Rep: ANDREW WADE (VA02) Branch: 720 - Retail 1099 Annadale, VA OS: Compensation

System Status: OK

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Trade Listing: ANDREW WADE (VA02)**Active Production (not paid) ▼ See Applied Filter**

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
6/16/2010	6/16/2010	B	FRA1	Franklin Templeton (36273)	Franklin/Templeton Dist., Inc. (368)	0	50	0	649	6	6	0	3
6/16/2010	6/16/2010	B	PUT1	PUTNAM INVESTMENTS (40418)	Putnam Investments, Inc. (533)	0	50	0	3,575	36	36	0	18
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	50	0	100	1	1	0	1
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	50	0	2,725	49	49	0	24
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)	0	50	0	2,250	33	33	0	16
Totals									0	9,299	125	125	0 62

Posted Production (paid) ▼ See Applied Filter

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
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No data

Applied Filter

Date Up to Current Broker Month
 Trade Source Packaged Products
 Payment Type Trading Rep
 Source Rep ANDREW WADE (VA02)
 Product Mutual Fund (PPMMF)

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Rep: ANDREW WADE (VA02) Branch: 720 - Retail 1099 Annadale, VA OS: Compensation

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Trade Listing: ANDREW WADE (VA02)**Active Production (not paid) ▼ See Applied Filter**

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
6/16/2010	6/16/2010	B	AME1	AMERICAN FUNDS (40172)	American Funds Dist., Inc. (161)		0 50	0	0	3,069	3,069	0	1,535
Totals								0	0	3,069	3,069	0	1,535

Posted Production (paid) ▼ See Applied Filter

T/D	S/D	B/S	Ticker	Desc	Issuer	Price	Pay %	Shares	Alloc Inv Amt	Alloc Grs	Prd Crdt	Fees	Net
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No data

Applied Filter

Date Up to Current Broker Month

Trade Source Packaged Products

Payment Type Trading Rep

Source Rep ANDREW WADE (VA02)

Product Mutual Fund 12B1 Fee (PPTB)

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Exhibit #2

Putnam Trails for Louis Nebel Dated 6/30/2010						
Date	Year	Quarter	Fund Cd	Fund Number	Mgt 12b1 Fees	Sysrep No
6/30/2010	2010	2	615	677	0	1089
6/30/2010	2010	2	448	2LQ	166.07	1089
6/30/2010	2010	2	53	2MG	14.35	1089
6/30/2010	2010	2	39	438	1235.12	1089
6/30/2010	2010	2	31	355	884.69	1089
6/30/2010	2010	2	7	959	67.76	1089
6/30/2010	2010	2	245	2AH	0	1089
6/30/2010	2010	2	603	510	598.99	1089
6/30/2010	2010	2	8	779	0	1089
6/30/2010	2010	2	152	48J	0	1089
6/30/2010	2010	2	206	883	1.77	1089
6/30/2010	2010	2	648	2HL	20.07	1089
6/30/2010	2010	2	5	315	19.84	1089
6/30/2010	2010	2	409	2NA	273.11	1089
6/30/2010	2010	2	3153	5IE	0	1089
6/30/2010	2010	2	422	2LX	363.58	1089
6/30/2010	2010	2	611	2AC	9.32	1089
6/30/2010	2010	2	10	974	1715.6	1089
6/30/2010	2010	2	622	803	189.25	1089
6/30/2010	2010	2	635	891	264.97	1089
6/30/2010	2010	2	3924	5AL	1.43	1089
6/30/2010	2010	2	606	530	22.48	1089
6/30/2010	2010	2	3978	5ET	14.27	1089
6/30/2010	2010	2	41	093	443.38	1089
6/30/2010	2010	2	9	319	1490.91	1089
6/30/2010	2010	2	431	2NV	71.6	1089
6/30/2010	2010	2	3918	5ER	3.57	1089
6/30/2010	2010	2	49	2JK	4.74	1089
6/30/2010	2010	2	17	785	0.06	1089
6/30/2010	2010	2	3069	5LA	0	1089
6/30/2010	2010	2	3552	5IC	16.38	1089
6/30/2010	2010	2	45	2AG	86.71	1089
6/30/2010	2010	2	610	626	835.15	1089
6/30/2010	2010	2	3560	5GR	6.6	1089
6/30/2010	2010	2	3969	5DA	0	1089
6/30/2010	2010	2	13	332	0	1089
6/30/2010	2010	2	403	2MT	198.95	1089
6/30/2010	2010	2	644	907	0	1089
6/30/2010	2010	2	401	2NK	210.74	1089
6/30/2010	2010	2	44	782	142.32	1089
6/30/2010	2010	2	660	DL2	46.49	1089
6/30/2010	2010	2	3982	5FD	27	1089
6/30/2010	2010	2	3068	5KX	0	1089
6/30/2010	2010	2	51	2CF	157.98	1089
6/30/2010	2010	2	3979	5EY	14.19	1089
6/30/2010	2010	2	1808	2XR	3.6	1089
6/30/2010	2010	2	48	2HJ	101.1	1089
6/30/2010	2010	2	601	385	1151.09	1089
6/30/2010	2010	2	201	307	20.26	1089
6/30/2010	2010	2	52	2ZE	7.14	1089

Query1089

6/30/2010	2010	2	248	2HK	0	1089
6/30/2010	2010	2	1	306	833.52	1089
6/30/2010	2010	2	3553	5IG	68.62	1089
6/30/2010	2010	2	42	092	15.66	1089
6/30/2010	2010	2	641	405	128.69	1089
6/30/2010	2010	2	210	192	29.61	1089
6/30/2010	2010	2	21	062	0	1089
6/30/2010	2010	2	54	2JN	10.22	1089
6/30/2010	2010	2	18	804	0.14	1089
6/30/2010	2010	2	3920	5HE	3.52	1089
6/30/2010	2010	2	752	48M	0	1089
6/30/2010	2010	2	419	7SH	0.25	1089
6/30/2010	2010	2	460	DK9	37.65	1089
6/30/2010	2010	2	50	2CQ	0	1089
6/30/2010	2010	2	4	781	124.9	1089
6/30/2010	2010	2	60	DK7	130.45	1089
6/30/2010	2010	2	2	780	25.03	1089
6/30/2010	2010	2	654	2JP	19.7	1089
6/30/2010	2010	2	609	472	598.32	1089
6/30/2010	2010	2	64	EC8	27.01	1089
6/30/2010	2010	2	61	ED9	0	1089
6/30/2010	2010	2	3984	5AN	291.66	1089
6/30/2010	2010	2	435	2NT	109.04	1089
6/30/2010	2010	2	231	358	0.4	1089
6/30/2010	2010	2	43	091	307.77	1089
6/30/2010	2010	2	651	2CH	3.73	1089
6/30/2010	2010	2	40	500	297.88	1089
6/30/2010	2010	2	410	2LN	84.91	1089
6/30/2010	2010	2	19	788	27.94	1089
6/30/2010	2010	2	12	261	23.46	1089
6/30/2010	2010	2	3	311	1237.67	1089
6/30/2010	2010	2	35	919	226.16	1089
6/30/2010	2010	2	640	679	781.88	1089
6/30/2010	2010	2	203	312	2.66	1089
6/30/2010	2010	2	645	2AI	23.78	1089
6/30/2010	2010	2	153	48X	0	1089
6/30/2010	2010	2	608	534	5.07	1089
6/30/2010	2010	2	604	427	24.59	1089
6/30/2010	2010	2	631	983	529.95	1089
6/30/2010	2010	2	619	702	0	1089
6/30/2010	2010	2	664	ED5	0.48	1089
6/30/2010	2010	2	3960	5AD	0	1089
6/30/2010	2010	2	643	174	28.75	1089
6/30/2010	2010	2	441	946	437.67	1089
6/30/2010	2010	2	6	783	131.66	1089
6/30/2010	2010	2	33	784	0.64	1089
6/30/2010	2010	2	11	323	397.75	1089
6/30/2010	2010	2	56	2UI	2.76	1089
6/30/2010	2010	2	639	629	0	1089
6/30/2010	2010	2	22	251	944.82	1089
6/30/2010	2010	2	204	881	0	1089
6/30/2010	2010	2	443	945	125.25	1089

				Total	\$ 19,004.25	
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Putnam Trails for Andrew Wade Dated 6/30/2010						
Date	Year	Quarter	Fund Cd	Fund Number	12b1 Fees	Sysrep No
6/30/2010	2010	2	643	174	0	1087
6/30/2010	2010	2	53	2MG	4.78	1087
6/30/2010	2010	2	16	338	1.61	1087
6/30/2010	2010	2	635	891	10	1087
6/30/2010	2010	2	45	2AG	0.6	1087
6/30/2010	2010	2	435	2NT	0	1087
6/30/2010	2010	2	22	251	118.12	1087
6/30/2010	2010	2	431	2NV	8.31	1087
6/30/2010	2010	2	48	2HJ	18.56	1087
6/30/2010	2010	2	402	2NE	0	1087
6/30/2010	2010	2	448	2LQ	21.05	1087
6/30/2010	2010	2	648	2HL	0.02	1087
6/30/2010	2010	2	451	2LV	0	1087
6/30/2010	2010	2	422	2LX	0	1087
6/30/2010	2010	2	443	945	9.81	1087
6/30/2010	2010	2	641	405	20.2	1087
6/30/2010	2010	2	51	2CF	1.21	1087
6/30/2010	2010	2	441	946	15.69	1087
6/30/2010	2010	2	654	2JP	0	1087
6/30/2010	2010	2	20	163	0	1087
6/30/2010	2010	2	11	323	0	1087
6/30/2010	2010	2	6	783	2.35	1087
6/30/2010	2010	2	245	2AH	0	1087
6/30/2010	2010	2	409	2NA	72.84	1087
6/30/2010	2010	2	644	907	18.84	1087
6/30/2010	2010	2	401	2NK	87.21	1087
6/30/2010	2010	2	652	2ZH	0	1087
6/30/2010	2010	2	453	2NW	0	1087
6/30/2010	2010	2	44	782	0	1087
6/30/2010	2010	2	31	355	39.62	1087
6/30/2010	2010	2	35	919	0	1087
6/30/2010	2010	2	404	2NF	0	1087
6/30/2010	2010	2	8	779	0	1087
6/30/2010	2010	2	611	2AC	0	1087
6/30/2010	2010	2	201	307	2.1	1087
6/30/2010	2010	2	4	781	9.33	1087
6/30/2010	2010	2	43	091	0	1087
6/30/2010	2010	2	3924	5AL	9.88	1087
6/30/2010	2010	2	42	092	60.28	1087
6/30/2010	2010	2	40	500	18.62	1087
6/30/2010	2010	2	440	2MA	0	1087
6/30/2010	2010	2	50	2CQ	18.03	1087
6/30/2010	2010	2	49	2JK	0	1087
6/30/2010	2010	2	610	626	14.95	1087
6/30/2010	2010	2	603	510	18.26	1087
6/30/2010	2010	2	661	EE4	18.65	1087
6/30/2010	2010	2	3984	5AN	17.96	1087
6/30/2010	2010	2	622	803	13.07	1087
6/30/2010	2010	2	204	881	1.5	1087
6/30/2010	2010	2	606	530	0	1087

Query1087

6/30/2010	2010	2	18	804	0	1087
6/30/2010	2010	2	608	534	0	1087
6/30/2010	2010	2	1	306	48.26	1087
6/30/2010	2010	2	640	679	0	1087
6/30/2010	2010	2	609	472	13.57	1087
6/30/2010	2010	2	601	385	3.57	1087
6/30/2010	2010	2	10	974	5	1087
6/30/2010	2010	2	3	311	282.68	1087
6/30/2010	2010	2	604	427	0	1087
6/30/2010	2010	2	41	093	6.68	1087
6/30/2010	2010	2	54	2JN	32.22	1087
6/30/2010	2010	2	410	2LN	0	1087
6/30/2010	2010	2	9	319	4.36	1087
				Total	\$ 1,049.79	



Genworth Life and Annuity Insurance Company
Attn: Commission Accounting
P.O. Box 1280
Lynchburg, VA 24505-1280

JESUP & LAMONT SECURITIES CORPORATION
2500 N MILITARY TRAIL STE 135
BOCA RATON, FL 33431

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Exhibit #3

Commission statement - Agency

June 12, 2010

from Genworth Life and Annuity Insurance Company

Page 1 of 3

Representative

Jesup & Lamont Securities Corporation

Representative code

391GZ

Agency

Universal Financial Consultants LLC

Agency code

05104

Customer service

800 991.5684

M-F 8:30 AM-8 PM ET

genworth.com

Thank you for your business.

Please retain this document for your records.

Summary

Current period

First year commissions

Annuities

\$10,958.06

Total commissions paid

\$10,958.06

Minimum amount for payment is \$50.00

Commission statement - Agency
June 12, 2010
from Genworth Life and Annuity Insurance Company
Page 2 of 3

Representative	Representative code
Jesup & Lamont Securities Corporation	391GZ
Agency	Agency code
Universal Financial Consultants LLC	05104

Summary by representative

Current period

Representative/ Representative code	First year commission	Total commission
Nebel Louis 923HS	\$10,958.06	\$10,958.06
Subtotal		\$10,958.06
Total commissions		\$10,958.06

\$10,958.06

Hi Gary:

I'm looking at a statement (which I'll scan and send to you and Lou) that shows a reference to REVITCH (his client) but no reference to Liberty Life.

I have all parties in the loop; we'll figure it out.

Thank you.

Paul Gaipman
National Insurance Coordinator
Jesup & Lamont Securities Corp.
2500 N. Military Trail, Suite 135
Boca Raton, Florida 33431
561 226 4471
Toll Free 800 971 3898
Fax 561 994 5906
PGaipman@JesupLamont.com

Jesup & Lamont Securities

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Message from:
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n.ridge@ufc.bz,
NebelFinancial@jesuplamont.com
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From: Gary Davis
Sent: Thursday, June 24, 2010 1:56 PM
To: Paul Gaipman; 'Nancy Ridge'
Subject: RE: RBC Compensation

We received an ACH from Liberty Life for \$6,250. Is that pertaining to RBC?

Thank You
Gary Davis
Commission Dept.
Jesup & Lamont Securities Corp
Member FINRA / SIPC
800-569-3337 ext 1130
gdavis@jesuplamont.com

From: Paul Gaipman
Sent: Thursday, June 24, 2010 12:51 PM

To: Nancy Ridge
Cc: Gary Davis
Subject: RBC Compensation

Hi Nancy:

I received a statement (no check) from RBC for Louis Nebel.

- 1) Wasn't this commission assigned to Jesup?
- 2) Can you check with RBC to find out the status of the \$6,250.00 check?

Thank you

Paul Gaipman
National Insurance Coordinator
Jesup & Lamont Securities Corp.
2500 N. Military Trail, Suite 135
Boca Raton, Florida 33431
561 226 4471
Toll Free 800 971 3898
Fax 561 994 5906
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